

2007 REPORT TO THE REGION



THE DOROTHY RIDER POOL HEALTH CARE TRUST



# REPORT OF THE CHAIRMAN AND EXECUTIVE DIRECTOR

The Dorothy Rider Pool Health Care Trust is guided by the genius of its founder, Mr. Leonard Parker Pool. Established upon his death with the residue of his estate in December 1975, he named the Trust in honor of his first wife “to serve as a resource that enables Lehigh Valley Hospital to be a superior regional hospital and to improve the health of the citizens of the region it serves.”

Our aligned work in 2007 continues with our strategic partner and primary beneficiary, Lehigh Valley Hospital and Health Network [LVHHN]. This year, the Pool Trustees approved 26 new grants totaling \$7,737,584. This is one of the highest dollar commitments in the history of the Trust. These grants encourage leadership, emphasize medical education and research to stimulate quality patient care, point to the important work still to be done to improve access to care for all people in our region, and generally support LVHHN’s work to address health and its determinants in comprehensive terms.

A few highlights include:

1. **PATIENT SAFETY AND QUALITY** — The Governor’s Health Care Reform Policy statement entitled “Prescription for Pennsylvania” states: “To improve health care quality, Pennsylvania must eliminate hospital-acquired infections, medical errors and the costs associated with them and must promote wellness.”<sup>(1)</sup>

While LVHHN has already distinguished itself as a leader in patient safety and quality improvement activities, the Pool Trustees have provided resources to advance and accelerate these efforts, further disseminating them throughout the entire region. In this fashion, LVHHN continues its statewide leadership role and the Trust supports LVHHN by helping to identify best practices in the private sector, such as the work at Air Products and Chemicals, Inc., and health sector specific work, such as the Pittsburgh Regional Health Initiative.<sup>(2)</sup>

- <sup>(1)</sup> Governor’s Office of Health Care Reform – [www.ohcr.state.pa.us](http://www.ohcr.state.pa.us)
- <sup>(2)</sup> Pittsburgh Regional Health Initiative – [www.prhi.org](http://www.prhi.org)

2. **REGIONAL PUBLIC HEALTH** — The Pool Trust continued their work with LVHHN and other community leaders to establish Pennsylvania’s first regional public health department. Important local ordinances were passed by both counties that allow for the creation of a Lehigh-Northampton County Board of Health and the hiring of the Lehigh Valley’s first regional health director. By partnering with our colleagues at the Two Rivers Health & Wellness Foundation, a \$1 million grant has been offered to the counties to assist with start-up costs.

3. **ACCESS TO CARE** — Access to care is one of the six areas of the Pool Trust’s strategic investment. Over the past three years, the Pool Trust has continued to explore several delivery models (voluntary clinics, school-based health centers [SBHCs], and federally qualified health centers [FQHCs]) in an effort to improve access to care in the Lehigh Valley.

These efforts to develop innovative models of health care and health promotion at satellite sites in partnership with important community-based organizations help to serve vulnerable populations who

experience multiple barriers to care. These partnerships will continue to shape a model of care that is responsive, culturally and linguistically appropriate, and welcoming to all members of the community.

4. **DENTAL SEALANTS** — Health and school officials in the Lehigh Valley indicated that unmet dental needs were a significant problem among children in the area. Among those for whom access to treatment is a problem, a promising strategy is to try to prevent dental decay before it occurs. One such approach is school-based provision of dental sealants – plastic coatings that protect the pits and fissures of teeth, where more than 90% of cavities occur. Retained sealants are shown to be 100% effective in preventing decay. School-based sealant programs have been shown to be cost-effective across a wide range of circumstances and have even been demonstrated to be cost saving in high-risk populations.

Over the next three to five years, 2nd graders in the Easton Area and Allentown School Districts will be offered the opportunity to participate in a sealant program. An estimated 3,500 students will be eligible to receive dental sealants over the course of the program.

5. **RECRUITMENT AND RETENTION OF OUTSTANDING MEDICAL, NURSING, AND OTHER HEALTH PROFESSIONALS** — This is another of the six areas of the Pool Trust’s strategic investment. The Trust provides ongoing and significant support to LVHHN to develop physician leadership and enhance the education and preparation of tomorrow’s health leaders. This year, and over the coming years, the Trust intends to help LVHHN anticipate the health needs of the Valley and prepare health professionals to address those needs.

In our 2005 report, we pointed out that while Mr. Pool wished the Trust’s assets to be used “to or for the benefit of” LVHHN in addressing the health of the region, he did not intend it to be to the detriment of other hospitals and institutions in the region. In fact, the Trust has encouraged and facilitated numerous cooperative efforts among area hospitals over the decades. A recent case in point is the Cardiovascular Patient Outcomes Team [C-PORT] study for cardiac care jointly undertaken by LVHHN and Sacred Heart Hospital. The C-PORT group is a network of physician and nurse cardiovascular specialists, health care economists, quality of life researchers, clinical trial specialists, hospital administrators, and

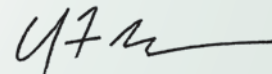
government health care policymakers and regulators. The C-PORT designs, develops, and implements community-based clinical trials in the area of cardiovascular medicine.

The Trust is pleased to support the joint effort of Lehigh Valley Hospital and Health Network and Sacred Heart Hospital in a C-PORT study. This is an opportunity to improve patient care quality and access to specialty care in the Lehigh Valley.

Throughout the history of the Trust, we have developed a model of community-based philanthropy that places emphasis on the health of the citizens of the region. This past year, we put significant resources at the disposal of our strategic partner and recognized academic community hospital to enable the people we serve to be as healthy as possible. These funding commitments are testimony to the confidence we have in the leadership and strength of LVHHN as a superior regional hospital.



John P. Jones III  
*Chairman*



Edward F. Meehan  
*Executive Director*

# STATEMENT OF FINANCIAL POSITION

December 31, 2007

## ASSETS

### CURRENT ASSETS

Cash	\$ 23,638
Accrued Interest and Dividends Receivable	122,467
Investments	92,954,573
Accounts Receivable-Related Party	81,152
Prepaid Expenses	<u>19,217</u>
Total Current Assets	93,201,047

### FURNITURE AND EQUIPMENT, NET

Total Assets	<u>\$93,224,124</u>
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The financial statements for the Trust for the years ended December 31, 2007 have been examined by the accounting firm of Goldenberg Rosenthal, LLP. A detailed financial report, as examined by Goldenberg Rosenthal, LLP, is available at the Trust office upon request.

## LIABILITIES AND NET ASSETS

### CURRENT LIABILITIES

Accrued Expenses and Other Liabilities	\$ 14,411
Grants Payable	<u>7,419,195</u>
Total Current Liabilities	7,433,606

### LONG-TERM LIABILITIES

Grants Payable	\$ <u>2,265,279</u>
Total Liabilities	<u>9,698,885</u>

### COMMITMENTS

### NET ASSETS

Unrestricted	\$ 13,777,299
Temporarily Restricted	<u>69,747,940</u>

Total Net Assets	<u>83,525,239</u>
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Total Liabilities and Net Assets	<b>\$93,224,124</b>
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# POOL TRUST'S RESPONSIVE GRANTMAKING PROCESS

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## LETTER OF INTENT (LOI) PROCESS:

- An LOI should be a succinct (five pages or less) description of your program, and should address the questions listed below.
- The Pool Trust will accept LOIs at any time.
- The Pool Trustees may act on any LOI at any Trust meeting.
- They will typically make decisions regarding LOIs during two cycles:
  - Spring (April-May) LOIs submitted on or before March 1.
  - Fall (September-October) LOIs submitted on or before August 1.

## DEVELOPMENT/APPROVAL OF PROPOSAL:

- LOIs that are of interest to the Trustees will be invited for a full proposal. Proposals will provide greater detail of the questions addressed in the LOI.
- The joint (Pool Trust/applicant) effort at proposal development (including outside review for major proposals) will be completed as soon as possible.
- Decisions will be made on proposals at any Trust meeting when a proposal is ready for action.
- For relatively small grants, the Trust's decision may be made on the basis of a well-developed LOI.

## PRIORITY AREAS

LOIs/Proposals should fit into one of the Pool Trust/Lehigh Valley Hospital agreed-upon priority areas:

- |                             |                     |
|-----------------------------|---------------------|
| • Recruitment and Retention | • Medical Education |
| • Clinical Innovation       | • Community Health  |
| • Access to Care            | • Health Studies    |

All LOIs/Proposals should answer the following questions:

**Purpose:**

What is the specific idea or purpose behind the request?

- Provide a brief (less than one page) summary of the purpose of the request, including an itemized list of concrete measurable goals or expected outcomes.
- Describe how the idea matches with one of the above identified priority areas.

**Method:**

How will you achieve your purpose?

- Describe the specific outcome indicators or measurable deliverables that will be a direct result from this proposed program.
- Provide a work plan, including a time schedule and who will be responsible for accomplishing the objectives.

**Leadership:**

Who is the individual that will lead this initiative? Who will work on the program?

- List the qualifications, credentials or experience of each responsible person relative to each objective.

**Measurement:**

How will you know if you are on target to meet/have met your goals?

- Describe the method of evaluating progress and effectiveness.

**Budget:**

How much will this cost?

- Attach a fully defined budget that includes total project costs.
- Include a list detailing all (committed and projected) sources of financial support.

**Sustainability:**

If this is not a contained study, how will the program activity be continued after Pool Trust funding ceases?

# THE DOROTHY RIDER POOL HEALTH CARE TRUST

“During my lifetime, I have had an intense interest concerning health care for my fellowman. This interest was first expressed as an active trustee of the Allentown Hospital Association and more recently, as a founder, director, and president of the Allentown and Sacred Heart Hospital Center, Inc. Because of this interest, upon my death, I wish to have the residue of my estate used for the continued provision of health care to the citizens of the Lehigh Valley and others served by the Allentown and Sacred Heart Hospital Center (now Lehigh Valley Hospital).”

*Leonard Parker Pool*

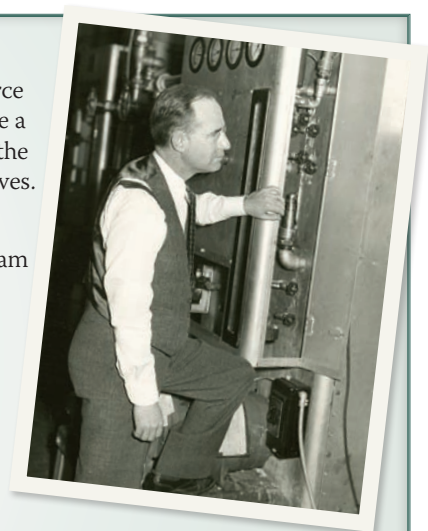
The Dorothy Rider Pool Health Care Trust came into being upon the death of Leonard Parker Pool on December 27, 1975. Mr. Pool amassed a financial fortune as founder and long-time chief executive of Air Products and Chemicals, Inc. He directed that the Trust be named as a memorial for his first wife, Dorothy Rider Pool, who died of cancer in 1967.

The mission of The Dorothy Rider Pool Health Care Trust is to serve as a resource that enables Lehigh Valley Hospital to be a superior regional hospital and improve the health of the citizens of the region it serves.

While the Trust welcomes all proposals supportive of its mission, areas of program support include:

- Recruitment and retention of outstanding health care providers
- Medical education
- Clinical innovation
- Community health
- Health services research
- Access to care

The Trust operates as a public charitable (support) trust. Led by a small and dedicated staff, the Trust, with the assistance of local, regional and national advisors, addresses the needs of the citizens of the Lehigh Valley and supports programs to meet those needs.



# THE PAUL BOSANAC RESEARCH AND PUBLICATION AWARD

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The Bosanac Award is supported by the Pool Trust to encourage and recognize residents at Lehigh Valley Hospital who engage in scientific investigation and research. The award is dedicated to the memory of the late Paul Bosanac, MD, who was Chief of the Renal Section of Surgery at The Allentown Hospital-Lehigh Valley Hospital Center. Bosanac was also instrumental in establishing the Research and Publication support Service at Lehigh Valley Hospital Center.

The 2007 Bosanac Award was presented to **Paul B. Cesanek, MD**, a PGY3 General Surgery Resident, as the principal author of the paper titled, "The Effect of Beta-Blocker Dosing Strategy on Regulation of Perioperative Heart Rate and Clinical Outcomes in Patients Undergoing Vascular Surgery: A Randomized Comparison."



PAUL B. CESANEK, MD

## 2007 GRANT AWARDS

**LVHHN Department of Community Health, Health Studies and Education**  
*A Systems Approach to Reduce Unnecessary Emergency Room Use by Improving the Efficiency and Quality of Health Care Delivery*  
\$250,000 for one year

**LVHHN Department of Family Medicine**  
*Transforming Primary Care: Leadership in Education, Access and Quality (P4)*  
\$975,000 over two years

**LVHHN Division of Education**  
*Creating the Learning Organization of 2012*  
\$800,000 for one year

**Lehigh Carbon Community College — LVHHN Partnership**  
*LPN to RN Program*  
\$156,950 over three years

**LVHHN Patient Safety and Quality Initiative**  
\$1,500,000 over three years

**LVHHN Department of Family Medicine**  
*Access to Care and Community Partnership at the Caring Place Family Health Program and Casa Guadalupe*  
\$151,025 for one year

**LVHHN Department of Family Medicine**  
*Support for Neighborhood Health Centers of the Lehigh Valley*  
\$60,000 for one year



**LVHHN Office of Chief Medical Officer**

*Patient Safety and Quality Improvement Initiative*

\$100,000 for one year

**Northampton Community College Foundation**

*Recruitment and Retention of Nursing Students*

\$122,607 over three years

**LVHHN Department of Community Health, Health Studies and Education**

*Lehigh Valley Community Health Institute — Phase I*

\$48,800 for one year

**LVHHN Department of Community Health, Health Studies and Education**

*ALERT Partnership — Safe Passage*

\$466,307 over three years

**LVHHN Department of Development**

*La Dolce Vita Nite Lites*

\$25,000 for one year

**LVHHN Lehigh Valley Heart Specialists**

*Non-Fasting Point Of Care (POC) Assessment*

*Of Cholesterol Levels: Accuracy, Cost, Impact*

*On Clinical Decision Making & Patient Satisfaction*

\$37,800 for one year

**LVHHN Department of Emergency Medicine**

*Air-Medical Training Institute*

\$35,000 for one year

**LVHHN Pediatric Intensive Care**

*Systems Change and Improvement of Outcomes*

*in Emergency Pediatric Resuscitation*

\$122,510 for one year

**LVHHN Department of Obstetrics and Gynecology**

*OB Simulation: Making it a Reality*

*at Lehigh Valley Hospital*

\$36,000 over two years

**LVHHN Department of Family Medicine  
and Helwig Regional Diabetes Center**  
*Improving Diabetes Health Outcomes  
for Patients with Low Functional Health Literacy*  
\$40,000 over 18 months

**LVHHN Department of Emergency Medicine**  
*Key Characteristics Associated with a Successful  
Emergency Department Triage Nurse: A Study to  
Improve Emergency Nursing Care*  
\$12,940 over two years

**LVHHN Department of Pathology**  
*Flow Cytometric Study of Natural Killer Cells  
and T Lymphocytes in Body Effusions*  
\$9,000 for one year

**LVHHN Lehigh Valley Surgical Oncology**  
*Clinical Trial Examining Treatment of Advanced Melanoma  
Patients with CADI-05*  
\$169,060 over three years



**LVHHN Office of Chief Medical Officer**  
*Institute for Physician Leadership*  
\$163,585 over three years

**LVHHN Department of Community Health,  
Health Studies and Education**  
*Regional Public Health*  
\$750,000 over two years

**LVHHN Department of Community Health,  
Health Studies and Education —  
Division of Health Studies**  
*Health Studies Unit*  
\$1,500,000 over three years

**LVHHN Regional Heart Center**  
*Cardiovascular Patient Outcomes  
Research Team (C-PORT) Study*  
\$125,000 for one year

# HIGHLIGHTED PROGRAMS

## LVHVN DEPARTMENT OF FAMILY MEDICINE

*Transforming Primary Care: Leadership in Education, Access and Quality (P4)*

The overall goal of the Transforming Primary Care program is to create and develop new resources for assuring a patient-centered medical home for everyone in our region. The project proposes to transform and evaluate primary care residency education and community practice, quality of care and access through a redesign of family medicine residency that dramatically extends residency education in new care strategies into the community and through an enhancement of their practice-based research networked EPICnet as a means for bringing innovations to practice.

On January 30, 2007, the Lehigh Valley Family Medicine Residency was notified that it was selected to participate in the Preparing the Personal Physician for Practice (P4) Initiative. The Association of Family Medicine Residency Directors and the American Board of Family Medicine with

assistance from other national organizations, including the Family Medicine Residency Review Committee and the Accreditation Council for Graduate Medical Education, the Residency Assistance Program and TransforMED, an affiliate of the American Academy of Family Physicians, collaborated to develop and implement the P4 Initiative. Grounded in the assumption that family medicine residencies are a key bridge in the development of physicians and must be environments in which residents can learn to adapt to inevitable changes in life and medicine, the P4 initiative's purpose is to inspire and examine substantial innovation in the content, structure and location of training of family physicians and guide future revision in accreditation and certification requirements.



## LVHVN DEPARTMENT OF FAMILY MEDICINE

### *Access to Care and Community Partnership at the Caring Place Family Health Program and Casa Guadalupe*

Lehigh Valley Hospital and Health Network, with support from Pool Trust, has moved forward to develop innovative models of health care and health promotion at satellite sites in partnership with important community based organizations to serve vulnerable populations who experience multiple barriers to care. These community partnerships have shaped a model of care that is community responsive, culturally and linguistically appropriate and welcoming to all members of the community.

One of these health centers is located at The Caring Place. The other center is currently located at Casa Guadalupe. LVHVN has dedicated considerable resources to expand clinical services and facilitate existing partnerships at both sites.

The ongoing development of these satellite health centers, coupled with LVHVN's efforts to improve inter-hospital collaboration and the emergence of the Neighborhood Health Center as a community level advocate, creates the partnership necessary to qualify for the federal designation as well as provides an immediate increase in available primary care.

*Dr. Abby Letcher oversees the health center at The Caring Place and has been responsible for developing the critical community partnerships that have allowed the center to grow and develop.*



Photos courtesy of Lehigh Valley Hospital and Amico Studios.

## NORTHAMPTON COMMUNITY COLLEGE

### *Recruitment and Retention of Nursing Students*

The goal of this effort is to improve the recruitment and retention of nursing students at Northampton Community College in an attempt to address the local shortage of nurses, thereby increasing access to care for individuals within the community and elevate the overall quality of the community's health. Strategies include reducing the attrition rate of students within the program by identifying those who are academically at risk and providing support services to ensure successful completion of the program. The development of a Nursing Retention Specialist directly addressed these issues. Northampton Community College recently received national recognition for its efforts on the first phase of this initiative.

*Margery M. Lafferty, Nursing Support Specialist at Northampton Community College, reviews study strategies with nursing students in preparation for their exam.*



*Nursing Support Specialist Margery M. Lafferty and Carolyn Bortz, Dean of Allied Health and Science, (both of Northampton Community College) review their presentation, "It Takes a Village: Evidence-Based Strategies Enhance Retention and Academic Success," for the Sixth Annual Faculty of the Future 2008 Conference at Bucks Community College on May 30, 2008*

## LVHHN OFFICE OF CHIEF MEDICAL OFFICER

### *Institute for Physician Leadership*

The Institute for Physician Leadership (ILP) program will enhance and develop the leadership talents and effectiveness of physicians serving the broader community through their work at LVHHN. General learning objectives for the IPL Fellows will be to clarify informal and formal leadership roles, while understanding the changing and increasingly competitive healthcare environment.

## LVHHN REGIONAL HEART CENTER

### *Cardiovascular Patient Outcomes Research Team (C-PORT) Study*

The Atlantic C-PORT group is a network of physician and nurse cardiovascular specialists, health care economists, quality of life researchers, clinical trial specialists, hospital administrators, and government health care policymakers and regulators. The Atlantic C-PORT designs, develops and implements community-based, clinical trials in the area of cardiovascular medicine.

The C-PORT trials are characterized by involvement of academic, tertiary and community hospitals. Participants propose and run clinical trials from any of the affiliated institutions, including and using C-PORT components appropriate for their needs.

The Atlantic C-PORT conducts clinical cardiovascular trials involving new treatments or diagnostic methods; studies must be of significant intellectual interest. Study outcomes must lead to significant new treatments or new diagnostic tools important in cardiac care.

Comprehensive comparisons of alternative “standard” treatments are of interest to the C-PORT when outcomes of such a comparison have important implications for the treatment of heart disease or development of public policy. Lehigh Valley Hospital and Sacred Heart Hospital have partnered to participate in a C-PORT initiative.



# ADVISORS TO THE TRUST

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Graduate School of Public Health

# TRUSTEES

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*Senior Consultant in Neurology Emeritus*  
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## CORPORATE TRUSTEE

## DENISE M. GARGAN, MBA

*Vice President, Institutional*  
*Investment Group*  
PNC Bank, NA



*Seated l to r: Carol M. McCarthy, PhD, JD, Trustee;  
Denise M. Gargan, MBA, Corporate Trustee*

*Standing l to r: Edward F. Meehan, MPH, Executive Director;  
John P. Jones III; Harold L. Paz, MD; Lawrence P. Levitt, MD, Trustees*

Photo courtesy of RFM Photography.

# POOL TRUST STAFF – 2007

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# THE DOROTHY RIDER POOL HEALTH CARE TRUST



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Please visit [www.pooltrust.org](http://www.pooltrust.org) to learn more about The Dorothy Rider Pool Health Care Trust, including the Trust's priority areas and the application process.