



the DOROTHY RIDER POOL
HEALTH CARE TRUST

2006 REPORT to the REGION



"During my lifetime I have had an intense interest concerning health care for my fellowman . . . "

Report of the Chairman and Executive Director

The mission of the Dorothy Rider Pool Health Care Trust is to enable the Lehigh Valley Hospital and Health Network (LVHHN) to become a superior regional hospital and improve the health of the citizens of the region. This past summer, the Pool Trust reaffirmed with LVHHN, its primary beneficiary, our mutual understanding that the resources of the Trust will be utilized by LVHHN to have a demonstrable and sustained impact on the health of the citizens of the Valley. Specifically, we have an aligned strategy that Pool funds are best utilized as risk capital, to help identify and develop evidence-based

methods to improve the health status of the Valley, to promote leadership at LVHHN, to foster medical education and training, and, throughout, to involve key parties that will facilitate programmatic success.

In 2006, the Trustees awarded \$1,923,576 in a dozen grants consistent with this aligned strategy. Some of these grants are relatively small in dollar amount, but they have the potential for sound programmatic growth. They also have the potential to stimulate cooperative and collaborative approaches to health improvement across the region.

To cite several of the broad areas of investment, consider the following: Telehealth services and the development of a Regional Health Information Organization (RHIO) for children's health will provide up-to-date technological support to health care professionals while also improving communication for a rapidly growing and expanding population. A grant to the ALERT Partnership to identify the best ways to reduce motor vehicle trauma among our teenagers also speaks to a dark side of the growth and prosperity in this region.

Access to health care for the most vulnerable among us has long been a priority of LVHHN and the Pool Trustees. This year, several targeted grants were provided to support Lehigh Valley Hospital's good work in partnership with Casa Guadalupe and The Caring Place. These special collaborations and types of efforts have the potential to grow and expand.

The Trust's commitment to medical education was once again evident this year. In addition to existing support for residency programs in LVHHN's Departments of Medicine and Family Medicine, new resources for the Division of Education's "Lehigh Valley Youth in Health Care" programs will help to expose, encourage, and educate

future generations of health care providers, including nurses, physicians, and pharmacists.

An innovative program for applying dental sealants and evaluating the effectiveness of these sealants for elementary school-age children in the Allentown and Easton Area school districts has been supported by the Centers for Disease Control, the PA State Health Department, and the Pennsylvania Chapter of the American Dental Association. We applaud the cooperative efforts of LVHHN, St. Luke's Hospital, and Sacred Heart Hospital in their collective endeavor to make this program possible.

The Pool Trust has supported the development of endowed chairs at Lehigh Valley Hospital. Over the past decade, the Trust has provided \$7,350,000 to both endow chairs and support chairs named for other benefactors. This year, the Trust is pleased to provide support to facilitate the creation of the William H. Grube Jr. and Phyllis Esterly Grube Endowed Chair in the Pediatric Subspecialties.

The Pool Trust has been working with LVHHN and other community leaders to encourage the establishment of a regional public health department. In that regard, we note the Governor's health care reform plan entitled "A Prescription for Change." Two recent Pool Trust grants to LVHHN

demonstrate a like commitment to improve access to care for those not currently served, including the "Expansion and Enhancement of Community Based Primary Care Access" and the "Community Care for Complex Illness (3CI)." We have also endorsed the idea of smoke-free communities. In fact, the Trust first funded the Coalition for a Smoke-Free Valley in 1988. All of these programs are consistent with "A Prescription for Change." Going forward, we are also exploring with LVHHN activities that focus on improved patient safety and quality of care, another component of the Governor's plan.

In 30 short years, LVHHN has become a superior regional hospital. Enabling

this great civic achievement for the benefit of the citizens of the region was the charge "initially and primarily" given to the Pool Trustees. Support for fluoridating the City of Allentown's water, expanding access to primary care services, and developing the first hospice and Level I trauma programs in Pennsylvania at LVHHN are examples of efforts to address this mission. On an ongoing basis, LVHHN, similar to other superior regional hospitals throughout the nation, seeks to continuously learn and improve through education and research that enhances quality patient care and to attract highly trained, caring physicians and nurses to the Lehigh Valley. The Trust's ongoing support to LVHHN's Divisions of

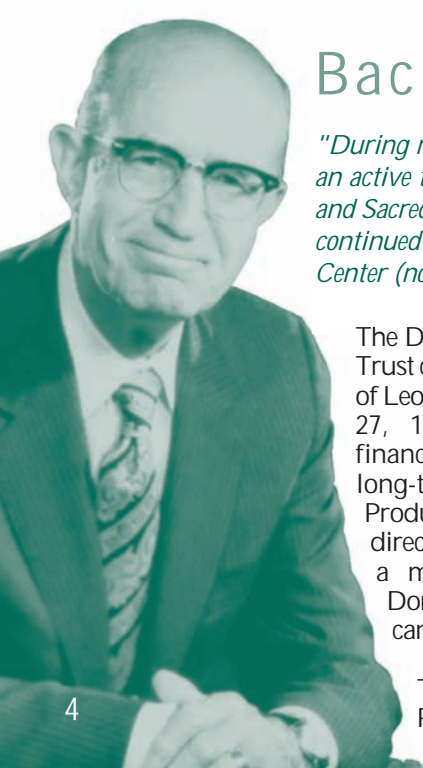
Education and Health Studies are testament to LVHHN's character as a "learning organization." Looking to the future of health care for the citizens of our region, the Trust is committed to helping LVHHN continue in its leadership role by anticipating the expectations that society will place on health care services given the broad range of social factors that enable or inhibit health.



John P. Jones III
Chairman



Edward F. Meehan
Executive Director



Background and History

"During my lifetime I have had an intense interest concerning health care for my fellowman. This interest was first expressed as an active trustee of the Allentown Hospital Association and more recently, as a founder, director, and president of the Allentown and Sacred Heart Hospital Center, Inc. Because of this interest, upon my death, I wish to have the residue of my estate used for the continued provision of health care to the citizens of the Lehigh Valley and others served by the Allentown and Sacred Heart Hospital Center (now Lehigh Valley Hospital)."

Leonard Parker Pool

The Dorothy Rider Pool Health Care Trust came into being upon the death of Leonard Parker Pool on December 27, 1975. Mr. Pool amassed a financial fortune as founder and long-time chief executive of Air Products and Chemicals, Inc. He directed that the Trust be named as a memorial for his first wife, Dorothy Rider Pool, who died of cancer in 1967.

The mission of the Dorothy Rider Pool Health Care Trust

is to serve as a resource that enables Lehigh Valley Hospital to be a superior regional hospital and improve the health of the citizens of the region it serves.

While the Trust welcomes all proposals supportive of its mission, areas of program support include:

- Recruitment and retention of outstanding health care providers
- Medical education

- Clinical innovation
- Community health
- Health services research
- Access to care

The Trust operates as a public charitable (support) trust. Led by a small and dedicated staff, the Trust, with the assistance of local, regional and national advisors, addresses the needs of the citizens of the Lehigh Valley and supports programs to meet those needs.

The Paul Bosanac Research & Publication Award

The Bosanac Award is supported by the Pool Trust to encourage and recognize residents at Lehigh Valley Hospital who engage in scientific investigation and research. The award is dedicated to the memory of the late Paul Bosanac, MD, who was Chief of the Renal Section of Surgery at The Allentown Hospital-Lehigh Valley Hospital Center. Bosanac was also instrumental in establishing the Research and Publication Support Service at Lehigh Valley Hospital Center.

The 2006 Bosanac Award was presented to Michal Kazimir, MD, a PGY2 Internal Medicine Resident, as the principal author of the paper titled "Flow Mediated Dilation After Immediate and Long Term Oral L-Methylfolate in Homocysteinemia."

Michal Kazimir, MD



2006 Grant Awards

LVHHN Division of Education
Lehigh Valley Youth in Health Care
\$116,300 for one year

LVHHN ALERT Partnership
Safe Passage Planning Grant
\$46,897 for 6 months

LVHHN Emergency Medicine Institute
Trauma Man System
\$90,500 over three years



LVHHN Lehigh Valley
Regional Burn Center
Beyond the Burn
\$105,826 over two years

LVHHN Department of Development
*William H. Grube Jr. and Phyllis Esterly
Grube Endowed Chair in the Pediatric
Subspecialties at LVHHN*
\$375,000 over five years

*Working with the
Trauma Man System at the
Emergency Medicine Institute*

LVHHN Telehealth Services
Telehealth Services
\$500,000 over two years

LVHHN Department of Development
*The Art of Healing at Nite Lites -
Investing in Excellence Here at
Home - The Campaign for LVHHN*
\$25,000 for one year

LVHHN Lehigh Valley Physician
Hospital Organization, Inc.
*Lehigh Valley Children's Regional Health
Information Organization (RHIO)
Planning Grant*
\$55,160 for one year



LVHHN Department of Family Medicine
Community Care for Complex Illness (3CI)
\$231,923 for one year

LVHHN Department of Community
Health, Health Studies and Education and
Department of Dental Medicine
Children's Dental Health Sealant Program
\$123,970 for one year

LVHHN Department of Pediatrics /
Northampton County Human Services
Child Advocacy Center (CAC) Planning Grant
\$5,000 for one year

LVHHN Department of Family Medicine
*Expansion and Enhancement of Community
Based Primary Care Access*
\$93,000 for one year

Highlighted Programs

Emergency Medicine Endowed Chair

Dr. Michael S. Weinstock is the first recipient of the Auxiliary of Lehigh Valley Hospital Endowed Chair of Emergency Medicine.



Standing: Edward F. Meehan, MPH, Executive Director, Dorothy Rider Pool Health Care Trust, Elliot J. Sussman, MD, MBA, CEO of Lehigh Valley Hospital, Seated: Michael S. Weinstock, MD, Chair, Emergency Medicine and Thelma Cameron, President, LVH Auxiliary

Funds from the chair will be used to help support and mentor the 44 emergency medicine physicians and attract and retain the best medical students, physicians and nurses.

The Dorothy Rider Pool Health Care Trust provided support of this endowed chair.



William H. Grube Jr. and Phyllis Esterly Grube Endowed Chair in the Pediatric Subspecialties

Mr. and Mrs. Grube created the William H. Grube Jr. and Phyllis Esterly Grube Endowed Chair in the Pediatric Subspecialties at Lehigh Valley Hospital and Health Network. Funds from the chair will be used for research and education. The Dorothy Rider Pool Health Care Trust provided support of this endowed chair.

l to r: Elliot J. Sussman, MD, Phyllis Esterly Grube, William H. Grube Jr. and Edward F. Meehan, MPH

Photos courtesy of Scott Dornblaser.



Santiago Rueda, DDS, speaks to students, (l to r) Latteshia White, Joshua Simms and Amanda Hernandez about careers and issues in health care. Photo courtesy of Amico Studios.

Youth in Health Care

Robert Lichstein, BS, RRT, Clinical Educator in Respiratory Care talks with Christina Fleck, a Research Scholar in Organizational Development. Photo courtesy of Amico Studios.



Students in the Emerging Health Professionals program attend college-level science classes at Penn State Lehigh Valley two days a week, and visit Lehigh Valley Hospital and Health Network three days a week. Photo courtesy of Amico Studios.

Telehealth Services

The Telehealth Services program will provide access to quality specialty services not generally available to many citizens residing in the rural portions of eastern Pennsylvania. Emergency psychiatric and maternal fetal medicine services will be the first to be implemented.

Joseph A. Tracy, Vice President of Telehealth Services, provides a brief update on the Telehealth Services Program for Lawrence P. Levitt, MD, Pool Trustee, and Edward F. Meehan, MPH, Executive Director of the Pool Health Care Trust. Photo courtesy of Scott Dornblaser.



Access to Care

LVHHN, with support from the Pool Trust, has developed innovative models of health care and health promotion at satellite sites in partnership with important community based organizations. These efforts help to serve vulnerable populations who experience multiple barriers to care. These partnerships have

shaped a model of care that is community responsive, culturally and linguistically appropriate, and welcoming to all members of the community.



Community Care for Chronic Illness (3CI) and Optimizing Advanced Complex Illness Support (OACIS)

Community Care for Chronic Illness (3CI) is a program to integrate the outpatient resources of the LVHHN network to optimize care for people with advanced chronic illness. Efforts to date have focused on analyzing baseline data and attitudes, finding ways to integrate community partners, designing practice based interventions, and building collaborative relationships with the extensive array of people within the network who have been active in the care of people with advanced chronic illness or palliative care.

The 3CI effort, which has focused on improving outpatient resources, has combined with other LVHHN resources

Dr. Abby Letcher examines a patient at The Caring Place. Photos courtesy of Amico Studios.

(in-patient, hospice and home health care) to create a full continuum of services to address chronic disease management. This LVH strategic initiative has been named OACIS (Optimizing Advanced Complex Illness Support).

Dr. Lou Lukas notes "When informed patients with advanced chronic disease take an active role in managing their health and providers feel prepared and supported with time and resources, their interaction is likely to be much more productive."



Statement of Financial Position

December 31, 2006

ASSETS		LIABILITIES AND NET ASSETS	
CURRENT ASSETS		CURRENT LIABILITIES	
Cash	\$ 22,940	Accrued Expenses and Other Liabilities	\$ 521,141
Accrued Interest and Dividends Receivable	108,386	Grants Payable	<u>4,030,541</u>
Investments	91,752,428	Total Current Liabilities	<u>4,551,682</u>
Accounts Receivable - Related Party	81,502		
Prepaid Expenses	<u>20,842</u>		
Total Current Assets	91,986,098		
		LONG-TERM LIABILITIES	
		Grants Payable	<u>\$ 785,727</u>
		Total Liabilities	<u>5,337,409</u>
FURNITURE AND EQUIPMENT	<u>22,801</u>		
		NET ASSETS	
		Unrestricted	\$16,584,720
		Temporarily Restricted	<u>70,086,770</u>
		Total Net Assets	<u>86,671,490</u>
Total Assets	<u><u>\$92,008,899</u></u>	Total Liabilities and Net Assets	<u><u>\$92,008,899</u></u>

The financial statements for the Trust for the years ended December 31, 2005 and December 31, 2006 have been examined by the accounting firm of Larson, Allen, Weishair & Co., LLP. A detailed financial report, as examined by Larson, Allen, Weishair & Co., LLP, is available at the Trust office upon request.

Pool Trust Responsive Grantmaking Process

LETTER OF INTENT (LOI) PROCESS:

- An LOI should be a succinct (5 pages or less) description of your program, and should address the questions listed on the next page.
- The Pool Trust will accept LOIs at any time.
- The Pool Trustees may act on any LOI at any Trust meeting.
- They will typically make decisions regarding LOIs during 2 cycles:
 - Spring (April-May)
LOIs submitted on or before March 1.
 - Fall (September-October)
LOIs submitted on or before August 1.

DEVELOPMENT/APPROVAL OF PROPOSAL:

- LOIs that are of interest to the Trustees will be invited for a full proposal. Proposals will provide greater detail of the questions addressed in the LOI.
- The joint (Pool Trust/applicant) effort at proposal development (including outside review for major proposals) will be completed as soon as possible.
- Decisions will be made on proposals at any Trust meeting when a proposal is ready for action.
- For relatively small grants, the Trust's decision may be made on the basis of a well-developed LOI.

PRIORITY AREAS

LOIs/Proposals should fit into one of the Pool Trust/Lehigh Valley Hospital agreed upon priority areas:

- Recruitment and Retention
- Clinical Innovation
- Access to Care
- Medical Education
- Community Health
- Health Studies

Pool Trust Responsive Grantmaking Process

All LOIs/Proposals should answer the following questions:

Purpose:

What is the specific idea or purpose behind the request?

- Provide a brief (less than 1 page) summary of the purpose of the request, including an itemized list of concrete measurable goals or expected outcomes.
- Describe how the idea matches with one of the identified priority areas.

Method:

How will you achieve your purpose?

- Describe the specific outcome indicators or measurable deliverables that will be a direct result from this proposed program.
- Provide a work plan, including a time schedule and who will be responsible for accomplishing the objectives.

Leadership:

Who is the individual that will lead this initiative? Who will work on the program?

- List the qualifications, credentials or experience of each responsible person relative to each objective.

Measurement:

How will you know if you are on target to meet/have met your goals?

- Describe the method of evaluating progress and effectiveness.

Budget:

How much will this cost?

- Attach a fully defined budget that includes total project costs.
- Include a list detailing all (committed and projected) sources of financial support.

Sustainability:

If this is not a contained study, how will the program activity be continued after Pool Trust funding ceases?

Advisors to the Trust

Carolyn Asbury, PhD

Senior Fellow, The Leonard Davis Institute of Health Economics
Senior Consultant, The Dana Foundation

Herman D. Clegg

HDC Consulting

Joyce Essien, MD, MBA

Director, Center of Public Health Practice
Rollins School of Public Health at Emory University

Christopher H. Gadsden, Esquire

Attorney at Law
Gadsden Schneider & Woodward, LLP

Barbara F. Gooch, DMD, MPH

Division of Oral Health
National Center for Chronic Disease Prevention and Health Promotion
Centers for Disease Control and Prevention

Susan O. Griffin, PhD

Economist, Division of Oral Health
National Center for Chronic Disease Prevention and Health Promotion
Centers for Disease Control and Prevention

Timothy Henderson

Vice President for Programs
Quantum Foundation, Inc.

Leon C. Holt, Jr.

Former Vice Chairman
Air Products and Chemicals, Inc.

Kathryn E. Keller, MPA

Senior Program Officer, School-Aged Children's Healthcare
The Health Foundation of Greater Cincinnati

Larson, Allen, Weishair & Co. LLP

Certified Public Accountants

James K. Laurie

Business Faculty
Penn State University

David E. Lees, CFA, CPA, CAIA

National Director, Wealth Advisory Services
myCIO Wealth Partners, LLC

William R. Maas, DDS, MPH

Director, Division of Oral Health
National Center for Chronic Disease Prevention
and Health Promotion
Centers for Disease Control and Prevention

Margaret A. Potter, JD

Director and Associate Dean for Public Health
Practice
University of Pittsburgh Graduate School
of Public Health

Joani Sarkiss

President
J. Sarkiss & Associates

Donna A. Stephens

CEO and Executive Director
Learning Well, Inc.

Betty H. Wilson

Executive Director and CEO
Health Foundation
of Greater Indianapolis





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Chairman and CEO
Air Products and Chemicals, Inc.

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Senior Consultant in Neurology Emeritus
Lehigh Valley Hospital

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Attorney at Law
Ober, Kaler, Grimes & Shriver

HAROLD L. PAZ, MD
Senior Vice President for Health Affairs and Dean
Penn State College of Medicine
Chief Executive Officer
Penn State Milton S. Hershey Medical Center

Corporate Trustee
DENISE M. GARGAN, MBA
Vice President, Institutional Investment Group
PNC Bank, NA

*Seated l to r: Carol M. McCarthy, PhD, JD, Trustee, Denise M. Gargan, MBA, Corporate Trustee
Standing l to r: Edward F. Meehan, MPH, Executive Director, John P. Jones III, Harold L. Paz, MD, Lawrence P. Levitt, MD, Trustees*

Photo courtesy of RFM Photography.

Staff

EDWARD F. MEEHAN, MPH
Executive Director

RONALD C. DENDAS, MS
Program Officer

JOSEPH J. NAPOLITANO, MPH, MSN
Program Officer

BRIDGET I. RASSLER
Administrative Manager

REGINA M. MARKS
Program Secretary

BRENDA C. SCHOENBERGER
Secretary/Receptionist

*Seated l to r: Bridget I. Rassler, Edward F. Meehan, Ronald C. Dendas
Standing l to r: Joseph J. Napolitano, Brenda C. Schoenberger,
Regina M. Marks
Photo courtesy of Pete Gourniak.*



THE DOROTHY RIDER POOL HEALTH CARE TRUST

1050 South Cedar Crest Boulevard, Suite 202, Allentown, Pennsylvania 18103

610.770.9346 • FAX: 610.770.9361 • Email: drpool@ptd.net

www.pooltrust.com